



01/10/02

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Check Box, if applicable [] Duplicate

Attorney
Docket No.

971003B

Total
Pages

First Named Inventor or Application Identifier

Hideki MATSUMURA, Akira IZUMI, Atsushi MASUDA,
Yasunobu NASHIMOTO, Yosuke MIYOSHI, Shuji
NOMURA, Kazuo SAKURAI, Shouichi AOSHIMA

Express Mail Label No.

APPLICATION ELEMENTS FOR:
METHOD AND APPARATUS FOR DEPOSITING A
THIN FILM, AND SEMICONDUCTOR DEVICE
HAVING A SEMICONDUCTOR-INSULATOR
JUNCTION

ADDRESS TO: Director of Patents and Trademarks
BOX PATENT APPLICATIONS
Washington, D.C. 20231

1. [XX] Fee Transmittal Form (Incorporated within this form)
(Submit an original and a duplicate for fee processing)

2. [XX] Specification Total Pages [13]

3. [XX] Drawing(s) (35 USC 113) Total Sheets [5]

4. [XX] Oath or Declaration Total Pages [2]

a. [] Newly executed (original or copy)

b. [XX] Copy from prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed).

i. [] Deletion of Inventor(s)

Signed statement attached deleting inventor(s) named in prior application.
see 37 CFR 1.63(d)(2) and 1.33(b).

5. [XX] Incorporation by reference (useable if box 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is
supplied under box 4b, is considered as being part of the disclosure of the accompanying application
and is incorporated by reference therein.

6. [] Microfiche Computer Program (Appendix)

7. [] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

a. [] Computer Readable Copy

b. [] Paper Copy (identical to computer copy)

c. [] Statement Verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. [] Assignment Papers to Hideki MATSUMURA, NEC CORPORATION and ANELVA CORPORATION
in the prior application Serial No. 08/924,304 filed on September 5, 1997 has been recorded at Reel 8790.
Frame 0668 on September 5, 1997). **Please publish the assignee data with the application.**

9. [] 37 CFR 3.73(b) Statement (when there is an assignee) [XX] Power of Attorney

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10. ☐ English translation Document (if applicable)

11. ☒ Information Disclosure Statement ☒ PTO-1449 Form

12. ☒ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)

14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application
Status still proper and desired.

15. ☒ Claim for Convention Priority ☐ Certified copy of Priority Document(s)

- a. Priority of Japanese application no's. 8-257675 filed on September 6, 1996 is claimed under 35 USC 119.
The certified copies/copy have/has been filed in prior application Serial No. 08/924,304.
(For Continuing Applications, if applicable).

16. ☐ Other _____

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☒ Division ☐ Continuation-in-part (CIP) of prior application no. 09/102,665

- a. ☒ Please amend the specification by inserting after the title: ~~This~~ This application is a divisional
of prior application Serial No. 09/102,665 filed on June 23, 1998, which is a divisional of
prior application serial No. 08/924,304 on September 5, 1997.

- b. ☒ Cancel in the application original claims 1-17 of the prior application before calculating the filing fee.

FEE TRANSMITTAL	Number Filed	Number Extra	Rate	Basic Fee
The filing fee is calculated below				\$740.00
Total Claims	2 - 20	0	x \$18.00	\$0.00
Independent Claims	1 - 3	0	x \$84.00	\$0.00
Multiple Dependent Claims			\$280.00	\$
Basic Filing Fee				740.00
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$40.00	\$0.00
TOTAL				740.00

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☒ A check in the amount of \$740.00 is enclosed to cover the filing fee.

☐ Please charge our Deposit Account No. **01-2340** in the total amount of _____ to cover the filing fee and the _____ assignment recordation fee. A duplicate of this sheet is attached.

☒ The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**. A duplicate of this sheet is attached.

18. CORRESPONDENCE ADDRESS:



23850

PATENT TRADEMARK OFFICE

SUBMITTED BY

Typed or Printed Name: **Sadao Kinashi**

Reg. No. **48,075**

Signature

Sadao Kinashi

Date: **January 10, 2002**

SK/ak

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